



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

H. Alpar, et al.

Serial No.: 09/937,066

Filed: September 20, 2001

For: POLYCATIONIC CARBOHY-  
DRATES AS IMMUNOSTIMU-  
LANTS IN VACCINES

GROUP ART UNIT: 1642

EXAMINER: unknown

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November 4, 2002

Attorney Docket No. 41577/263691

I hereby certify that this correspondence is being  
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the Assistant Commissioner for Patents, Washington,  
D.C. 20231, on November 4, 2002

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Don W. Kimm  
Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98,  
Applicants identify the materials listed below and on the  
modified form PTO-1449 accompanying this submission:

NON-U.S. PATENT DOCUMENTS

<u>Number</u>	<u>Country/Region</u>	<u>Date</u>
WO 99/01498	WIPO/PCT	January 14, 1999
WO 98/30207	WIPO/PCT	July 16, 1998
WO 96/05810	WIPO/PCT	February 29, 1996
0571671	Europe (EPO)	December 1, 1993

OTHER MATERIAL

Abstract of Japanese Patent Publication No. JP07118170,  
May 9, 1995.

Copies of the listed materials are enclosed. Applicants do not concede that the identified materials, or any of them, constitute prior art within the meaning of the United States patent laws.

Applicants are submitting this paper before receipt of an Office Action concerning the merits of the invention claimed in the application and thus believe no fee is due. See 37 C.F.R. § 1.97(b). However, if Applicants' belief is mistaken, the Assistant Commissioner is authorized to debit deposit account No. 11-0855 for any such fee presently due.

Respectfully submitted,



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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.